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DATE: September 18, 2007

PTO IDENTIFIER: Application Number 10/026,358-Conf. #8071

Patent Number

Inventor: Brijesh AGARWAL et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: WILMER CUTLER PICKERING HALE AND DORR LLP

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Attorney Dkt. #: 1497210.00122US2

PAGES (Including Cover Sheet): 23

CONTENTS: IDS (Citation) by Applicant (29 References) (2 pages)  
Information Disclosure Statement (2 pages)  
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Certificate of Transmission (1 page)  
3 References

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PTO/SB/97 (09-04)

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Application No. (if known): 10/026,358

Attorney Docket No.: 1497210.00122US2

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SEP 18 2007

Docket No.: 1497210.00122US2  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brijesh Agarwal et al. Confirmation No.: 8071  
Application No.: 10/026,358 Art Unit: 3692  
Filed: December 24, 2001 Examiner: F. Poinvil  
Title: METHOD AND SYSTEM FOR COMPUTER-IMPLEMENTED  
TRADING OF NEW ISSUE DEBT SECURITIES

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (IDS)

Dear Sir:

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits and before the mailing date of a final Office Action or a Notice of Allowance.

Please charge the \$180.00 fee to our Deposit Account No. 08-0219.

Applicant hereby brings to the Examiner's attention that the applicant has several co-pending applications that the Examiner may consider relevant. Applicant directs the Examiner to review each of the co-pending applications, prior art cited therein, and any rejections issued in connection with same for any relevance the Examiner may consider. As required under MPEP Sections 609, 901.03, 904, and 2001.06(b), all pending and/or parent applications of the same assignee and/or different inventive entity should also be searched with respect to prior art and double patenting issues. In addition, all prior art in parent applications are to be considered in subsequent applications under MPEP 609.02. Applicant hereby informs the Examiner of the following related, continuation, divisional and/or continuation-in-part applications:

10/026,358, 10/778,993, 10/026,359, 10/779,082, 10/672,379, 11/341,309 and  
10/001,921

09/20/2007 MGE BREM1 00000083 000219 10026358  
01 FC:1806 180.00 DA

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Application No.: 10/026,358

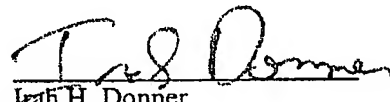
Docket No.: 1497210.00122US2

In addition, because prosecution at the Patent Office takes many years, Applicant may file additional continuation or related applications to the present application in the future. Applicant requests that the Examiner to search Private PAIR for any applications with the same assignee or common inventors to independently determine the relevance of any prior art cited therein and similarity between inventions claimed therein.

Applicants request that the Examiner initial and return a copy of the enclosed Form PTO SB-08 with the next communication.

Respectfully submitted,

Dated: 9/18/07

  
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Registration No.: 35,120  
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